Table-18
Permissible[27] and Non-permissible Expenditures
for Government Funded and Aided Institutions Participating in Sub-Component 1.1 : Strengthening Institutions to Improve Learning Outcomes and Employability of Graduates

Activity/Category of Expenditure	Government Funded and Aided Institutions[28]	
1. Improvement in teaching, training and learning facilities	**Permitted**	**Not Permitted**
	Civil Works[29] up to about 5% [30]of project allocation for the Institution for: • Refurbishment, repair works, extension of existing academic buildings such as classrooms, laboratories, workshops, computer centre and library • reducing environment degradation and complying with EMF (see Civil Works Manual at Appendix-III)	• Civil Works undertaken for betterment of hostels, staff quarters and non-academic structures
	• New equipment and furniture[31] up to about 50% of project allocation for the Institution for: • modernizing and strengthening of existing UG and PG laboratories & workshops, computer centre, library and support facilities • modernization of laboratories in supporting departments • modernizing classrooms • establishing new UG and PG laboratories, if any, required for the existing programmes • establishing laboratories for new PG programmes in Engineering disciplines provided that admissions to the new programmes are made latest by 2011 • Faculty research and Institutional consultancy work • Physical education • Campus-wide networking of academic and administrative buildings, hostels and faculty residences, and enhancing internet facilities • Course specific software	• Equipment and furniture for: (a) starting new UG programmes, and (b) improving hostel facilities other than electronic networking • Purchase of vehicles

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[27] Expenditure is permissible only for the AICTE approved UG and PG teaching programmes, provided that Goods, Civil Works and Consultancy Services (including Pedagogical Training) are procured in accordance with the procurement methods and procedures given in the Procurement Manual [see Appendix-II] and are not declared ineligible expenditure by the external Financial Auditors.

[28] The term aided Institution also includes Institutions established and operated under Public-Private-Partnership mode.

[29] Expenditure for any Civil Work activity will not be admissible if undertaken with co-financing from any other source.

[30] Institutions may marginally exceed 5% of their allocation provided this is allowed by the State/MHRD within the aggregated limit of 5% of their total allocation.

[31] Expenditure for procurement of any equipment and furniture will not be admissible if procured with co-financing from any other source.

2.	Modernization and Strengthening of Libraries	• Procurement of print and digitized books and e-Journals • Expenditure for digitization of library books • Establishment of CD bank • Membership of INDEST-AICTE etc.	
3.	Providing Teaching and Research Assistantships to increase enrolment in existing and new PG[32] programmes in Engineering disciplines	• Teaching Assistantships and Research Assistantships[33] for non-GATE qualified Masters and Doctoral students in Engineering disciplines • Foreign fellowships not exceeding 3 months duration for Doctoral candidates in Engineering disciplines subject to BoG approval on case to case basis	• Scholarships for GATE qualified students for Masters and Doctoral programmes are to be secured from Central, State and other agencies
4.	Research and Development and Institutional Consultancy Activities	• Expenditure for securing sponsored projects and consultancy assignments • Expenditure for publication of research papers in refereed journals • Expenditure for commercialization of research products • Expenditure for patenting of research products • Travel cost, hospitality and honorarium paid to Consultant for participation in Research & Development and for delivering Expert lectures	• Fiscal incentives for increased participation in research, sponsored projects and consultancy work (*the incentives can, however, be given from Institutional resources including IRG*) • All expenditure including travel and meetings associated with implementation of sponsored projects and consultancy assignments[34]
5.	Faculty Qualification Upgradation as planned through TNA	• Fees charged for Course work and use of research facilities; and consumables by the Institution (other than the parent Institution) where faculty is enrolled for qualification upgradation either through full-time or part-time or by sandwich joint arrangement • Expenses towards thesis writing and publication of thesis-based research papers • Consumables if faculty is registered for qualification upgradation on full-time or part-time basis within the parent Institution	• Salary, living expenses and travel expenses of faculty registered for qualification upgradation (on full or part time or by sandwich joint arrangement) either within the parent Institution or through deputation to another Institution
6.	In-house Basic Pedagogical Training of faculty from engineering disciplines and supporting departments	• Full fee (training cost + overheads towards travel, boarding, lodging, travel, training materials, etc.) charged by the Training Provider selected by the SPFU (All the payments will be made by SPFU)	• Any payment to the faculty for attending the training programme

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[32] The term PG covers both Masters and Doctoral programmes
[33] The amounts of Teaching and Research Assistantships will be governed by the norms as prescribed by the UGC/AICTE or the State Governments.
[34] The expenditures are to be met from the budget of sponsored projects and consultancy assignments.

7.	In-house Advanced Pedagogical Training of faculty from engineering disciplines and supporting departments	• Full fee (training cost + overheads towards travel, boarding, lodging, travel, training materials, etc.) charged by the Training Provider selected by the SPFU (All the payments will be made by SPFU)	• Any payment to the faculty for attending the training programme
8.	Subject knowledge and research competence upgradation of faculty from Engineering disciplines and supporting departments as planned through TNA	• Course fee; travel expenses, boarding and lodging, and sundry expenses /allowances as per applicable norms and rules when faculty is deputed out-station to another Institution (within India or abroad) for the duration of the Course, travel time and the time permitted by the BoG for visits to Institutions/Organizations of interest and relevance to the faculty in the vicinity of the location of training • Course fee and local travel expenses as per applicable norms and rules when faculty attends a Course in-station but at an Institution other than the parent Institution	• Any other payment to the faculty for attending the Course
9.	Training of senior non-teaching staff, administrative and finance officers, etc. (all not below the rank of a Lecturer)	• Course fee; travel expenses; boarding, lodging, and sundry expenses/ allowances as per applicable norms and rules when the staff is deputed outstation to another Institution within India and travel time • Course fee and local travel expenses as per applicable norms and rules when training is attended in-station but at an Institution/ Organization other than the parent Institution	• Any other payment to the staff for attending the training programme
10.	Training of technical support staff	• Course fee; travel expenses; boarding, lodging, and sundry expenses/ allowances as per applicable norms and rules when the technical support staff is deputed outstation to another Institution/Organization within India and travel time • Course fee and local travel expenses as per applicable norms and rules when training is attended in-station but at an Institution other than the parent Institution	• Any other payment to the staff for attending the training programme
11.	Training of administrative and general support staff[35] in functional areas	• Course fee; travel expenses; boarding, lodging, and sundry expenses/ allowances as per applicable norms and rules when the technical support staff is deputed outstation to another Institution/ Organization within India and travel time	• Any other payment to the staff for attending the training programme

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[35] Such training should mostly be organized within the Project Institution

	• Course fee and local travel expenses as per applicable norms and rules when training is attended in-station but at an Institution other than the parent Institution	
12. Industry-Institute Interaction	• Travel cost, hospitality and honorarium paid to Industry personnel for participation in Curriculum Development / revision / restructuring, student assessment and Institutional bodies, and for delivering Expert lectures • Expenditure for increasing I-I-I through PSAG • Expenditure towards inviting Industries (excluding travel cost and lodging boarding) for campus interviews and hospitality during campus interviews • Arranging tutoring by Industry Experts to prepare students for on- and off-campus job interviews	• Honorarium to faculty Member In-charge of I-I-I activity (It can be paid from the Institutions IRG)
13. Institutional Reforms	a) *Curricular Reforms*: • travel cost, hospitality and honorarium paid to Industry personnel for participation in Curriculum Development/ revision/ restructuring and Curricular reforms; • sundry expenditure on holding meetings of the concerned Committees	
	b) *Incentives to Faculty for Continuing Education Programmes, Consultancy and R&D:* • honorarium for organizing and administering CE programmes • honorarium for delivering lectures and training in CE programmes as per norms decided by the BoG	• Fiscal incentives for increased participation in research, sponsored projects and consultancy work (*the incentives can, however, be given from Institutional resources including IRG*)
	c) *Accreditation*: Accreditation fee to NBA/NAAC.	• Any payment to Accreditation Committee members in cash or kind
14. Academic Support for Weak Students through Finishing School	• Honorarium to faculty and staff for taking bridge courses, remedial teaching classes and skills-development training • Honorarium to faculty, staff, honorarium, TA and DA to outside Experts for specialized training in soft components including communication-presentation skills	• Cost towards the boarding and lodging of students for attending the Finishing School
15. Institutional Management Capacity Enhancement	a) Training of Institution Officials and Senior Faculty: • Course fee; travel expenses, boarding and lodging, and sundry	• Any other payment to the officials and senior faculty for attending the Course

	expenses/allowances as per applicable norms and rules when deputed out-station to another Institution (within India or abroad) for the duration of the Course, travel time and the time permitted by the BoG for visits to Institutions/Organizations of interest and relevance to the faculty in the vicinity of the location of training • Trainer's fee and overheads; and sundry expenditure if training programmes organized within the parent Institution. b) Orientation of BoG Members: Travel costs, boarding and lodging expenditure and sitting fee to Board Members; sundry expenses in organizing Orientation Programme. Study Tours: Travel expenses, boarding and lodging, and sundry expenses/allowances as per applicable norms and rules when deputed for study tour within India or abroad for the duration of the tour and travel time.	
16. Organizing subject area training programmes, workshops, seminars and conferences	• Hospitality to participants • Venue and logistic arrangements • Replication of printed training materials • Publication of proceedings • Travel, boarding & lodging for invited Experts	• TA&DA to participants
17. Technical Assistance	Consultancy services engaged for technical assistance related to: • procurement of Civil Works and equipment, • Pedagogical Training • mentoring • hand-holding for project implement-ation as required by weak Institutions • external financial auditing	
18. Salaries	• Salaries of additional full-time regular and contract faculty including adjunct faculty and staff appointed against posts created under the Project	• Salaries to contract faculty and staff appointed against existing vacancies • Salaries of Adjunct faculty appointed against existing vacancies (*These are to be borne by the Institution*)

19.	Maintenance of furniture and equipment including computers and other assets acquired under the Project	• Maintenance of furniture • In-house maintenance of existing and new equipment • Maintenance of equipment including computers and related devices through Annual Maintenance Contracts	• Maintenance of buildings (*this should be carried out through Institution's own budget*)

20.	Incremental Operating Cost	Expenditure on:
		• BoG and other Committee Meetings
		• Obtaining Autonomous Institution status from the affiliating university and UGC
		• TA & DA for faculty and staff attending workshops and meetings organized by the NPIU and SPFUs
		• TA & DA for faculty and staff attending training in the World Bank procedures as arranged by the NPIU and SPFUs
		• Contract fee for outsourced services
		• Student training materials and other consumables
		• Occasional hiring of vehicles for project related work only
		• Office operation including stationery, postage, electronic communication, telephone, electricity, water, etc.
		• Expenditure on participation by faculty in seminars, conferences, workshops, etc.:
		  ○ Registration fee; travel expenses; boarding, lodging, and sundry expenses/allowances as per applicable norms and rules when faculty is deputed outstation to another Institution (within India or abroad) for the duration of the seminar, conference or workshop, travel time and the time permitted by the BoG for visits to Institutions/Organizations of interest and relevance to the faculty in the vicinity of the location of seminar, workshop or conference
		  ○ Registration fee; and local travel expenses as per applicable norms and rules when participation is within-station but at an Institution other than the employer Institution
			• Any other payment to the faculty for attending seminars, workshops, conferences, etc. if organized within the parent Institution or at another Institution but within-station